

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company	<input type="text" value="U67120MH1996PTC100506"/>	<input type="button" value="Pre-fill"/>						
Global Location Number (GLN) of the company	<input type="text"/>							
* Permanent Account Number (PAN) of the company	<input type="text" value="AAACC4409L"/>							
(ii) (a) Name of the company	<input type="text" value="PRABHUDAS LILLADHER FINAN"/>							
(b) Registered office address	<input type="text" value="3RD FLOOR, SADHANA HOUSE, 570 P.B. MARG
BEHIND MAHINDRA TOWER, WORLI
MUMBAI
Mumbai City
Maharashtra
400018"/>							
(c) *e-mail ID of the company	<input type="text" value="ROCCompliance@plindia.com"/>							
(d) *Telephone number with STD code	<input type="text" value="02266322276"/>							
(e) Website	<input type="text" value="www.plfinserv.in"/>							
(iii) Date of Incorporation	<input type="text" value="25/06/1996"/>							
(iv)	<table border="1"><thead><tr><th>Type of the Company</th><th>Category of the Company</th><th>Sub-category of the Company</th></tr></thead><tbody><tr><td>Private Company</td><td>Company limited by shares</td><td>Indian Non-Government company</td></tr></tbody></table>	Type of the Company	Category of the Company	Sub-category of the Company	Private Company	Company limited by shares	Indian Non-Government company	
Type of the Company	Category of the Company	Sub-category of the Company						
Private Company	Company limited by shares	Indian Non-Government company						
(v) Whether company is having share capital	<input checked="" type="radio"/> Yes	<input type="radio"/> No						
(vi) *Whether shares listed on recognized Stock Exchange(s)	<input type="radio"/> Yes	<input checked="" type="radio"/> No						

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	PRABHUDAS LILLADHER ADVIS	U65990MH1991PTC060157	Holding	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	4,999,900	3,036,000	3,036,000	3,036,000
Total amount of equity shares (in Rupees)	49,999,000	30,360,000	30,360,000	30,360,000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Share Capital				
Number of equity shares	4,999,900	3,036,000	3,036,000	3,036,000

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	49,999,000	30,360,000	30,360,000	30,360,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	45,550,100	45,540,100	45,540,100	45,540,100
Total amount of preference shares (in rupees)	455,501,000	455,401,000	455,401,000	455,401,000

Number of classes

2

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
0.00001% Non Cumulative Redeemable Preference				
Number of preference shares	45,550,000	45,540,000	45,540,000	45,540,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	455,500,000	455,400,000	455,400,000	455,400,000
Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
10% Non Cumulative Redeemable Preference share				
Number of preference shares	100	100	100	100
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	1,000	1,000	1,000	1,000

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	3,036,000	0	3036000	30,360,000	30,360,000	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0

iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	3,036,000	0	3036000	30,360,000	30,360,000	
Preference shares						
At the beginning of the year	45,540,100	0	45540100	455,401,000	455,401,000	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	45,540,100	0	45540100	455,401,000	455,401,000	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		22/07/2022	
Date of registration of transfer (Date Month Year)		15/11/2022	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	276	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor	13		
Transferor's Name	Sheth	Prabhudas	Arun
	Surname	middle name	first name

Ledger Folio of Transferee		14	
Transferee's Name	Vora	Niraj	Amisha
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	28/02/2023
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Type of transfer	Preference Sha	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	98	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor	P-1
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Transferor's Name	Sheth	Prabhudas	Arun
	Surname	middle name	first name

Ledger Folio of Transferee	P-4
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Transferee's Name	Vora	Niraj	Amisha
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	
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Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	--	--

Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
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Ledger Folio of Transferor	
----------------------------	--

Transferor's Name			
	Surname	middle name	first name

Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

141,241,922

(ii) Net worth of the Company

712,577,465

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	98	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	3,036,000	100	45,540,000	100
10.	Others	0	0	0	0
	Total	3,036,000	100	45,540,098	100

Total number of shareholders (promoters)

2

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	2	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0

2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	0	0	0	0
10.	Others	0	0	0	0
	Total	0	0	2	0

Total number of shareholders (other than promoters)

2

**Total number of shareholders (Promoters+Public/
Other than promoters)**

4

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	3	2
Members (other than promoters)	2	2
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	1	0	0	0	0
B. Non-Promoter	1	1	1	3	0	0
(i) Non-Independent	1	1	1	3	0	0
(ii) Independent	0	0	0	0	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	2	1	3	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

5

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
RATAN NOSHIR KARA	00033108	Director	0	
RAJEEV DALAL	00222650	Additional director	0	
VIMAL KUMAR JAIN	00921229	Additional director	0	
PARAG SHASHIKANT	03311179	Whole-time director	0	
ANIMESH CHOUDHAR	AMXPC1584A	Company Secretary	0	05/05/2023

(ii) Particulars of change in director(s) and Key managerial personnel during the year

6

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
NIKITA PRAKASH MIS	BUUPM3871J	Company Secretar	21/04/2022	Appointment
RAJEEV DALAL	00222650	Additional director	16/11/2022	Appointment
VIMAL KUMAR JAIN	00921229	Additional director	16/11/2022	Appointment
NIKITA PRAKASH MIS	BUUPM3871J	Company Secretar	25/11/2022	Cessation
ANIMESH CHOUDHAR	AMXPC1584A	Company Secretar	27/12/2022	Appointment
MIHIR ARUN SHETH	00079530	Director	27/12/2022	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	22/07/2022	3	2	99.99

B. BOARD MEETINGS

*Number of meetings held

7

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	21/04/2022	3	3	100
2	30/06/2022	3	3	100
3	06/10/2022	3	3	100
4	15/11/2022	3	3	100
5	16/11/2022	3	3	100
6	27/12/2022	5	5	100
7	28/02/2023	4	4	100

C. COMMITTEE MEETINGS

Number of meetings held 6

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	30/06/2022	3	3	100
2	Corporate Soc	30/06/2022	3	3	100
3	Audit Committee	06/10/2022	3	3	100
4	Audit Committee	27/12/2022	5	5	100
5	Audit Committee	28/02/2023	4	4	100
6	Corporate Soc	28/02/2023	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	14/09/2023
								(Y/N/NA)
1	RATAN NOSH	7	7	100	6	6	100	Yes
2	RAJEEV DAL	2	2	100	3	3	100	Yes
3	VIMAL KUMAR	2	2	100	3	3	100	Yes
4	PARAG SHASHIKA	7	7	100	6	6	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	PARAG SHASHIKA	Whole time Dire	4,040,000	0	0	0	4,040,000
	Total		4,040,000	0	0	0	4,040,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
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S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ANIMESH CHOUDH	Company Secre	248,113	0	0	0	248,113
	Total		248,113	0	0	0	248,113

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Sanil Ganesh Dhayalkar

Whether associate or fellow

Associate Fellow

Certificate of practice number

16568

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

(c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.

(d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

02

dated

27/06/2023

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

PARAG
SHASHIKANT PAIGANKAR
PAIGANKAR
Digitally signed by
PARAG SHASHIKANT
Date: 2023.11.10
11:41:37 +0530'

DIN of the director

03311179

To be digitally signed by

KHUSHBO
ISHWAR
JAWRANI
Digitally signed by
KHUSHBO
Date: 2023.11.10
11:42:20 +0530'

Company Secretary

Company secretary in practice

Membership number

71091

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

List of Shareholders_PLFS_22-23.pdf
MGT-8_2022-23_PLFS.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



SANIL DHAYALKAR & Co.

Company Secretary

703/A-Wing, Raunak Tower, Above Bank of Maharashtra,
Naupada, Gokhale Road, Thane (W)-400602
Mobile: 9820251825/email: sanil@sdac.co.in

Form No. MGT-8

[Pursuant to Section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies
(Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records, books and papers of **PRABHUDAS LILLADHER FINANCIAL SERVICES PRIVATE LIMITED** (CIN-U67120MH1996PTC100506) (“the Company”) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the period under the Companies Act, 2013 (the Act) and the rules made thereunder for the period from 1st April, 2022 till 31st March, 2023 (hereinafter referred to as “**Period**”).

In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents,

I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid period the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. its status under the Act;
 2. maintenance of registers/records & making entries therein within the time prescribed therefore;
 3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, ~~Regional Director, Central Government, the Tribunal, Court~~ or other authorities within/~~beyond~~ the prescribed time;
 4. calling/ convening/ holding meetings of the Board of Directors and its committees(CSR Committee), and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and ~~resolutions passed by postal ballot~~, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
 5. closure of Register of Members / Security holders, as the case may be - Not Applicable

6. The Company is in compliance with applicable provisions in respect of loans/advances to its directors and persons or firms or companies referred to in section 185 of the Act;
7. contracts/arrangements with related parties as specified in section 188 of the Act; the transactions entered with related parties were in the ordinary course of business and on an arm's length basis.
8. The Company is in compliance with applicable provisions in respect of the transfer of 276 equity shares and the issue of share certificates for the aforesaid transfer during the period under review. There is no issue or allotment, transmission or buyback of securities/ redemption of debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in these instances during the period under review;
9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act - Not Applicable
10. declaration/payment of dividend; transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub-section (3), (4) and (5) thereof;
12. constitution/ appointment/ re-appointments/ ~~retirement/ filling up casual vacancies~~/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
 1. Mr. Rajeev Dalal (DIN: 00222650) was appointed as Additional Director of the Company w.e.f. 16th November, 2022;
 2. Mr. Vimal Kumar Jain (DIN: 00921229) was appointed as Additional Director of the Company w.e.f. 16th November, 2022;
 3. Mr. Mihir Arun Sheth (DIN: 00079530) resigned as Director of the Company w.e.f. 27th December, 2022;
 4. Mrs. Nikita Prakash Mistry (ICSI Membership No. A50130) resigned from the position of Company Secretary w.e.f. 25th November, 2022;
 5. Mr. Animesh Choudhary (ICSI Membership No. A37509) was appointed as Company Secretary of the Company w.e.f. 27th December, 2022.
13. ~~appointment/ reappointment/ filling up casual vacancies~~ of auditors as per the provisions of section 139 of the Act;
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act - Not Applicable;
15. The Company is in compliance of applicable provisions in respect of acceptance/ renewal/ repayment of deposits;

16. The Company is in compliance with applicable provisions in respect of borrowings from its directors, members, public financial institutions, banks and others and creation/modification/satisfaction of charges in that respect, wherever applicable;
17. loans and investments or guarantee given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act have been made in accordance with the provisions of the act, to the extent applicable;
18. alteration of the provisions of the Memorandum and/ or Articles of Association of the Company – Not Applicable.



Date: 09.11.2023

Place: Thane

UDIN: A031036E001789562

PRABHUDAS LILLADHER
FINANCIAL SERVICES PRIVATE LIMITED

Shareholding Pattern of Prabhudas Lilladher Financial Services Private Limited as on 31.03.2023

Type of Shares	Name of Holder	Number of Shares Held	Face Value Per Share (Rs.)	Amount (Rs.)	% of Total Holding
Equity	Prabhudas Lilladher Advisory Services Private Limited	30,35,448	10	3,03,54,480	99.982
Equity	Mrs. Amisha Niraj Vora (Jointly with Prabhudas Lilladher Advisory Services Private Limited)	*552	10	5,520	0.018
Total		30,36,000		3,03,60,000	100

*Shares held as nominee of Prabhudas Lilladher Advisory Services Private Limited.

Type of Shares	Name of Holder	Number of Shares Held	Face Value Per Share (Rs.)	Amount (Rs.)	% of Total Holding
10% Non-Cumulative Redeemable Preference Shares	Mr. Ramesh Thakkar	1	10	10	1
10% Non-Cumulative Redeemable Preference Shares	Mr. Jasmin Parekh & Mr. Nimish Parekh (Jointly)	1	10	10	1
10% Non-Cumulative Redeemable Preference Shares	Mrs. Amisha Niraj Vora	98	10	980	98
Total		100		1000	100

Prabhudas Lilladher Financial Services Private Limited

Regd. Office: 3rd Floor, Sadhana House, 570, P. B. Marg, Behind Mahindra Tower, Worli, Mumbai - 400 018.
CIN: U67120MH1996PTC100506 | Tel: +91 22 6632 2222, Fax: +91 22 6632 2229 | Email: plf@plindia.com,
Website: www.plfinserv.in

PRABHUDAS LILLADHER
FINANCIAL SERVICES PRIVATE LIMITED

Type of Shares	Name of Holder	Number of Shares	Face Value Per Share (Rs.)	Amount (Rs.)	% of Total Holding
0.00001% Non-Cumulative Redeemable Preference Shares	Prabhudas Lilladher Advisory Services Private Limited	4,55,40,000	10	45,54,00,000	100
Total		4,55,40,000	10	45,54,00,000	100

For Prabhudas Lilladher Financial Services Private Limited

PARAG SHASHIKANT PAIGANKAR
Digitally signed by PARAG SHASHIKANT PAIGANKAR
Date: 2023.10.23 13:04:31 +05'30'

Parag Shashikant Paigankar
Wholetime Director
DIN: 03311179
Add: 09, Merryland
Dr. R G Thadani Marg, Worli
Mumbai-400018

Place: Mumbai